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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/772,790	01/30/2001	Endre Markovits Schersl	HAR-104

CONFIRMATION NO. 2203

FORMALITIES LETTER



OC000000006212155

David I. ROCHE
BAKER & MCKENZIE
130 E. Randolph Drive
Chicago, IL 60601

Date Mailed: 06/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/23/2001 BN GUYEN1 00000100 020400 09772790

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65.00 CH



08-21-01

Attorney Docket No.: HAR-104

H Sector
#3IN THE UNITED STATES PATENT AND TRADEMARK OFFICEAttention: Customer Service Center
Initial Patent Examination Division

Applicant: Endre Markovits SCHERSL

) I hereby certify that this correspondence
) has been sent by U.S. Postal Service
) EXPRESS MAIL No. EL049367135US
) addressed to the
) Commissioner of Patents and Trademarks
) Washington, DC, 20231, on 20 Aug 2001
)
)
)
)
) Susan Vanderwalker 20 Aug 2001
) Susan Vanderwalker date

Title: Composition Containing
Phytosterol and Policosanol
Esters of Fatty Acids for
Reducing Blood Cholesterol
and Triglycerides

Serial No: not yet known

Filing Date: not yet known

COMMUNICATIONThe Honorable Commissioner
of Patents and Trademarks
Washington, DC 20231

Dear Sir:

On January 30, 2001, the above-referenced Application was submitted without an Oath or Declaration pursuant to Rule 1.53. A *Notice to File Missing Parts of Application, Filing Date Granted*, dated June 21, 2001, has been received by Applicant. A copy is enclosed along with the required *Declaration and Power of Attorney* document.

The Applicant is a Small Entity. In accordance with Rule 1.16(e), to cover the surcharge of \$65.00, you are hereby authorized to deduct the surcharge and any additional fees which may be due at this time from our Deposit Account, No. 02-0400 (Baker & McKenzie). When identifying such a withdrawal, please use our Attorney Docket No. HAR-104.

Respectfully,

David I. Roche
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